

POLICY 0300

**YOLO COUNTY SPECIAL EDUCATION
LOCAL PLAN AREA (SELPA)**

LOCAL COMPLIANCE ASSURANCES

POLICY:

The Yolo County SELPA has been formed by member public school agencies to assure access to special education and services for all individuals with exceptional needs residing in the geographic area served by the participating member agencies. The Superintendents' Council is responsible for monitoring the approved plan to verify that each participating Local Education Agency (LEA) carries out the duties and responsibilities assigned to it within the plan. A combination of factors must be reviewed to determine the quality of a program or service or the degree to which an agency is compliant with the intentions of the law. As the State monitors LEAs and takes action to encourage and enforce compliance, it is incumbent upon SELPAs to precede State sanction with prevention and intervention activities. Through monitoring of the practices of LEAs, the SELPA can support LEA efforts, with targeted prevention activities and appropriate intervention, when necessary.

This policy is designed to identify the combination of factors that will be monitored by the LEAs, SELPA, and the State to ensure that responsibilities are met and to assure the level of support is provided by the SELPA.

Reference: EC 56205(i)
20 USC §1400, 1412 (a)(11)
29 USC §794

Superintendents' Council

Adopted: April 16, 2008
Second Reading: April 16, 2008
First Reading: January 16, 2008

PROCEDURE 0300

YOLO COUNTY SPECIAL EDUCATION LOCAL PLAN AREA (SELPA)

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PROCEDURES:

The State has identified indicators on the State Performance Plan (SPP), State test scores, Compliance Review, Quality Assurance Program, Complaint Outcomes, Hearing Outcomes, Pupil Count, Self Review, and Maintenance of Effort as some of the activities that will be monitored for compliance and potential State intervention. Local Education Agencies (LEAs) and the SELPA will monitor these areas as well. When a combination of characteristics is present, the SELPA will provide specific support. The goal is to recognize the exemplary practices, with commendations and target SELPA resources in support of LEAs requiring assistance, while assuring that the practices of one LEA are not detrimental to other LEAs or the SELPA as a whole.

I. Compliance Commendation

- a. No significant non-compliance findings identified
 - b. Report data sources complete and provided in a timely manner
 - c. Dispute outcomes below Statewide levels
 - d. No issues identified by the State
- SELPA Support
 - Monitors data
 - Provides general training
 - Recognizes exemplary practices by sharing with other LEAs

II. Operational Non-Compliance

- a. Non-compliance issues identified
- b. Report data sources complete and provided in a timely manner
- c. Dispute outcomes below Statewide levels
- d. No significant issues identified by the State from self-review process

PROCEDURE 0300

LOCAL COMPLIANCE ASSURANCES (Continued)

- SELPA Support
 - Monitors data and notifies special education administrator and business manager
 - Assists with development and implementation of corrective action plan or improvement plan
 - Provides general training

III. Substantial Non-Compliance

- a. Corrective action plan requires the SELPA involvement
- b. Data incomplete or not provided in a timely manner
- c. Dispute outcomes above the Statewide levels
- d. State involved in on-site review and identification of non-compliant issues

- SELPA Support
 - Notifies LEA superintendent and the Superintendents' Council
 - Provides technical assistance
 - Assists with development and implementation of corrective action plan or improvement plan
 - Provides specific training
 - Delay monthly deposit of special education funding until reports, overdue after the State deadlines, are provided

IV. Systemic Non-Compliance

- a. Corrective action plan with outstanding issues after 3-12 months
- b. Data incomplete or not provided in a timely manner
- c. Dispute outcomes above the Statewide average and clusters of issues identified
- d. Multiple issues with performance among the lowest 15% as determined by the State
- e. State involved in on-site corrective action plan or improvement plan

- SELPA Support
 - Notifies Yolo County Office of Education (YCOE) Superintendent, LEA Superintendent, and the Superintendents' Council

PROCEDURE 0300

LOCAL COMPLIANCE ASSURANCES (Continued)

- Directs assigned SELPA program specialist to support implementation of elements of the corrective action plan to focus on technical assistance, specific training and monitoring of outcomes
- Delay monthly deposit of special education funding until reports, overdue after the State deadlines, are provided

V. State Sanctions

- a. Corrective action plan with outstanding issues after 12 months
 - b. Data incomplete or not provided in a timely manner
 - c. Dispute outcomes above the Statewide average and clusters of issues identified. Orders, agreements, or corrective action not implemented
 - d. Multiple issues with performance among the lowest 15% of the State with no substantial improvement over time
 - e. State involved in on-site corrective action plan or improvement plan including but not limited to assignment of an external monitor, court order, or the withholding of funds
- SELPA Support
 - Notifies YCOE Superintendent, LEA Superintendent, and the Superintendents' Council
 - Withholds funds from the specified LEA reflective of State action impacting the SELPA allocation
 - Directs assigned SELPA program specialist to support implementation of elements of the corrective action plan to focus on technical assistance, specific training and monitoring of outcomes
 - Delay monthly deposit of special education funding until reports, overdue after the State deadlines, are provided

Superintendents' Council

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